



The Road to 5G Wireless Systems: Resource Allocation for NOMA

Derrick Wing Kwan Ng

*The University of New South Wales
School of Electrical and Telecommunications Engineering*

Tsinghua University, Beijing

Jan. 2017

- 1 Introduction
 - Overview - 5G Communication Systems
 - Overview - Massive MIMO
 - Overview - Energy Harvesting
 - Overview - 5G NOMA
- 2 System Model for MC-NOMA
- 3 Resource Allocation Problem Formulation
 - Performance Measure
 - Problem Formulation
- 4 Optimization Solution
 - Optimal Solution
 - Suboptimal Solution
- 5 Simulation Results
- 6 Conclusions
- 7 Future Work

Overview - 5G Communication Systems

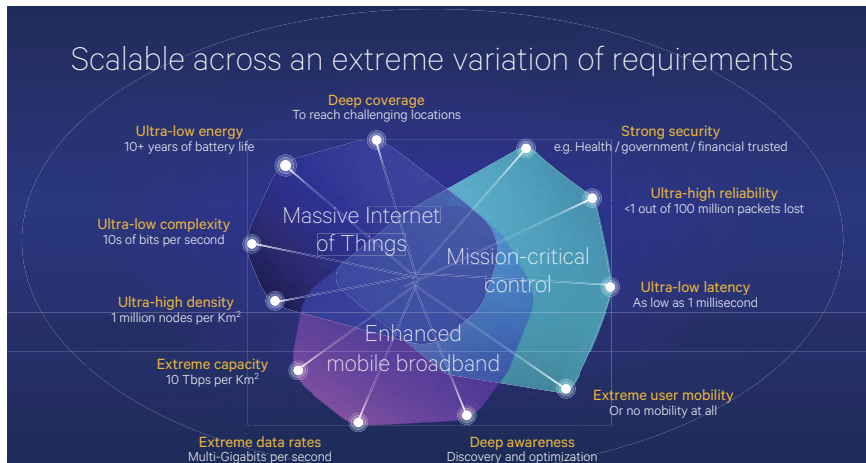


Figure: Qualcomm's 5G Vision [1].

Key Technologies for 5G

- There is no single technology which can fulfill all the goals....(good and bad)

Table: Key Technologies for 5G.

Massive MIMO [2, 3]	NOMA [4, 5, 6, 7]
mmWave Communications	Full Duplex Communications [8, 9]
Base Station Caching [10]	Mobile Edge Computing
Cloud-based Radio Access Networks	Visible Light Communication
Energy Harvesting [11]-[19]	D2D Communication

Key Technologies for 5G Wireless Systems, Cambridge University Press, Apr. 30 2017

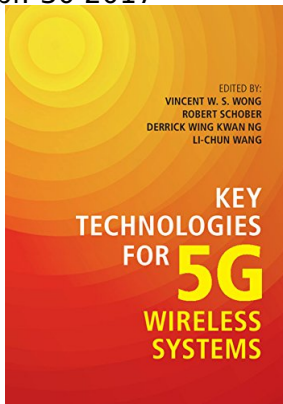


Figure: By Vincent W. S. Wong (Editor), Robert Schober (Editor), **Derrick Wing Kwan Ng** (Editor), Li-Chun Wang (Editor)

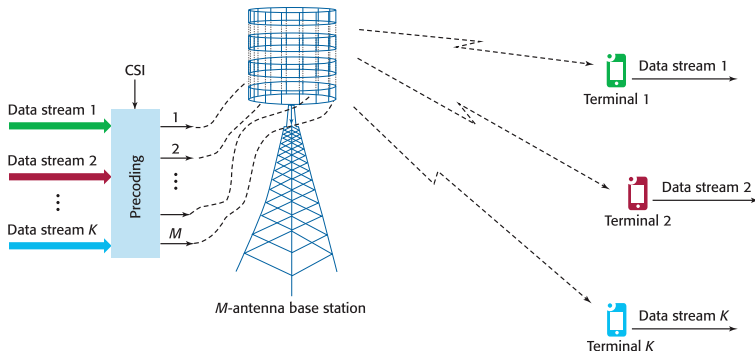
5G Emerging Technologies

Core technologies/methods for fulfilling the strengthened quality of service (QoS) requirements:

- Multiple input multiple output (MIMO) [20, 21]
 - Extra degrees of freedom in resource allocation (diversity and multiplexing \Rightarrow high data rate)
 - Artificial noise generation for degrading the channel of eavesdroppers (communication security) [22]
 - Information signal beamforming, zero forcing etc. (communication security)

5G Emerging Technologies

- Massive MIMO: 5G technology candidate
 - The use of a very large number of service antennas (e.g., hundreds or thousands) to serve multiple users (each equipped with small number of antennas or even single-antenna) simultaneously



5G Emerging Technologies

- **Massive MIMO:** Transmitter equipped with hundreds antennas serve multiple (e.g. single antenna) receivers [2, 3, 23]
 - Shift the signal processing burden from the receivers to transmitter
 - Allow simple design and cheap receiver
 - Achieve asymptotically optimal performance by using simple precoding design

Table: List of potential research problems for massive MIMO

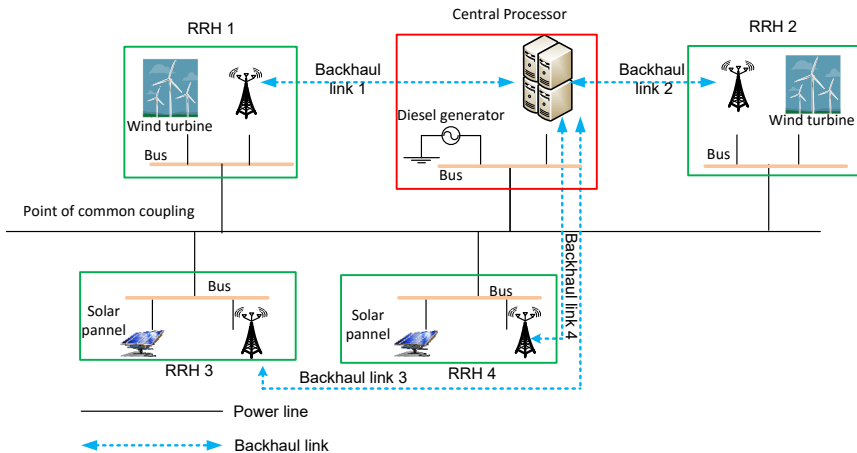
Hardware impairment	Precoding design
Pilot contamination	Energy efficiency
FDD vs TDD	Co-located versus Distributed

5G Energy Harvesting

- Ubiquitous and Self-sustainable Networks
 - Technologies
 - Conventional energy harvesting (scavenging): Collect energy from **natural renewable energy sources** such as solar, wind, and geothermal heat
 - Advantages: **Self-substantiable** network
 - Technical challenges (engineering problems): Time varying availability of the energy generated from renewable energy sources ⇒ **Perpetual** but **intermittent** energy supply ⇒ Unstable communication service [11, 12]

5G Energy Harvesting

Hybrid powered base station networks



Overview - Energy Harvesting

- “New” energy harvesting technology: RF-based Energy Harvesting/ Wireless powered communications [13]-[19]
 - Collect energy from background radio frequency (RF) electromagnetic (EM) waves from ambient transmitters
 - Major Applications: RFID, body area networks, wireless sensor networks, Machine-to-Machine (M2M) communications, **Internet of things (IoT)**, etc [25, 26].

5G Energy Harvesting

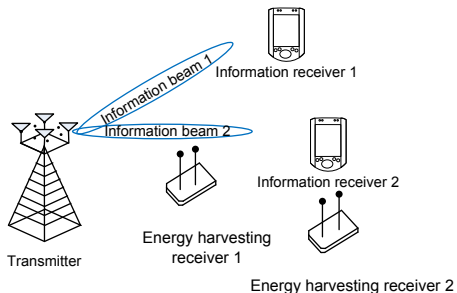


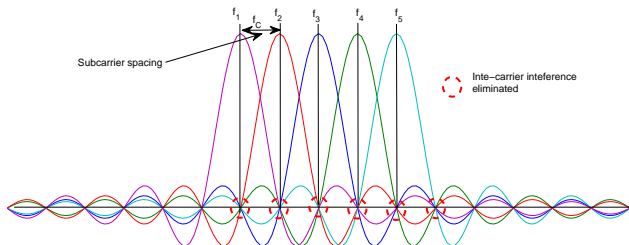
Table: List of potential research problems for massive MIMO

Resource allocation	Protocol design
Model design	Energy efficiency optimization

Overview - NOMA

In 4G communication systems, orthogonal frequency division multiple access (OFDMA):

- A wide band signal is divided into many narrow band subcarriers (e.g. 64→2048) and each subcarrier is assigned to at most one user
- Channel equalization is simplified
- Provide frequency diversity and multiuser diversity

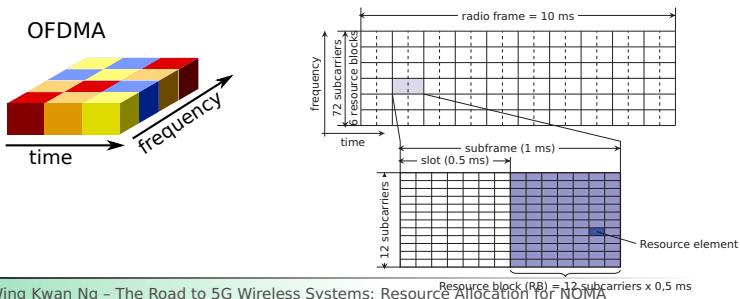


Motivation for NOMA

OFDMA: High flexibility in resource allocation:

The Physical Resource Block (PRB) is the basic unit of allocation.

- 12 subcarriers in frequency (= 180 kHz)
- 1 subframe in time (1ms = 14 OFDM symbols)
- Multiple resource blocks can be allocated to a user in a given subframe
- Total number of RBs depends on the operating bandwidth



Motivation for NOMA

However, traditional multicarrier orthogonal multiple access (MC-OMA) systems still underutilize the spectral resources

- Subcarriers are allocated **exclusively to one user** to avoid multiuser interference
- Subcarriers may be assigned **exclusively to a user with poor channel** quality to ensure fairness
- Orthogonality cannot be always maintained
 - Hardware imperfection
 - Doppler shift

Motivation for NOMA

To overcome the aforementioned shortcomings, non-orthogonal multiple access (NOMA) has been recently proposed

- Multiplexing multiple users on the same frequency resource
- Pairing users enjoying good channel conditions with users suffering from poor channel conditions
- NOMA is enabled by **successive interference cancellation (SIC)** at receivers

Channel capacity comparison of OMA and NOMA in an AWGN channel

- NOMA achieves larger multi-user capacity compared to OMA.

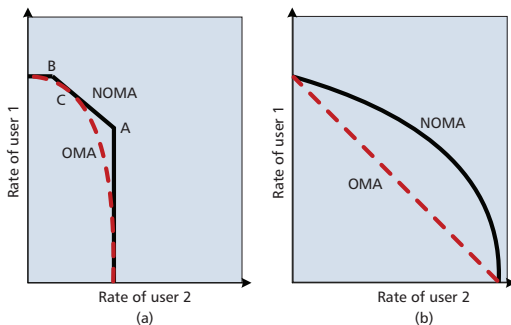
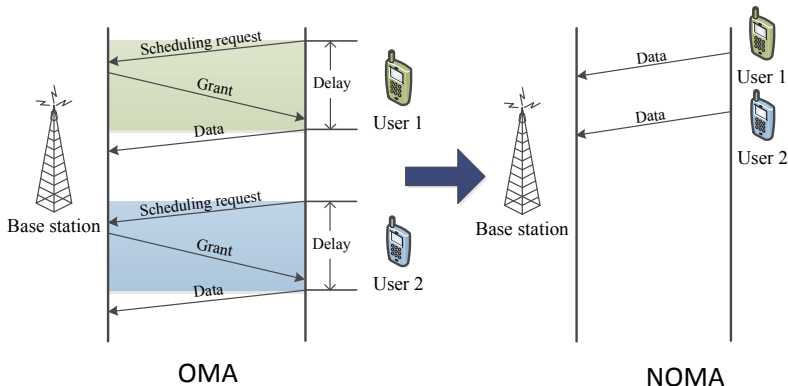


Figure: Channel capacity comparison of OMA and NOMA in an AWGN channel: (a) uplink AWGN channel; (b) downlink AWGN channel.

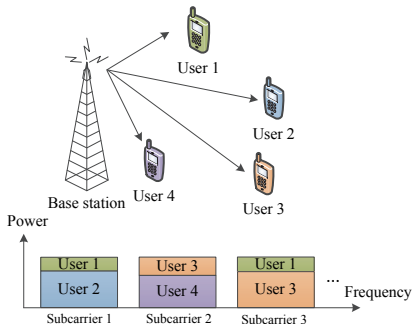
Uplink NOMA

- Transmission latency and signaling cost can be reduced in uplink NOMA
 - Allow multiple uplink users share the same radio resource
 - No scheduling is required
 - The base station performs SIC



System Model

- Resource allocation for a multicarrier NOMA downlink system.



- K downlink users, N_F subcarriers
- All transceivers are equipped with a single-antenna.
- All downlink users can perform **successive interference cancellation (SIC)**
- Each subcarrier can be allocated with at most two users.
- Power and subcarriers are available radio resources to be managed.**

System Model

Resource allocation design for MC-NOMA systems is more challenging than for traditional MC-OMA systems

- **User pairing** on each subcarrier is needed
- **SIC ordering** on each subcarrier is needed (which user perform SIC and which user do not)
- In next section, we will formulate the power and subcarrier allocation design as a **mixed-integer non-convex** optimization problem

Performance measure

- Study the weighted throughput of user m and user n on subcarrier i
- Assume $|h_m^i|^2 \leq |h_n^i|^2$

Weighted throughput of user m and user n on subcarrier i :

$$\begin{aligned}
 & U_{m,n}^i(p_m^i, p_n^i, s_{m,n}^i) \\
 &= s_{m,n}^i \left[w_m \log_2 \left(1 + \frac{|h_m^i|^2 p_m^i}{|h_m^i|^2 p_n^i + \sigma_n^2} \right) + w_n \log_2 \left(1 + \frac{|h_n^i|^2 p_n^i}{\sigma_n^2} \right) \right], \quad (1)
 \end{aligned}$$

where $s_{m,n}^i$ is subcarrier indicator and w_m is the priority of user m .

- Successful SIC can be performed, since

$$\log_2 \left(1 + \frac{|h_n^i|^2 p_m^i}{|h_n^i|^2 p_n^i + \sigma_n^2} \right) \geq \log_2 \left(1 + \frac{|h_m^i|^2 p_m^i}{|h_m^i|^2 p_n^i + \sigma_m^2} \right). \quad (2)$$

Problem Formulation

Problem: Maximization of the weighted system throughput

$$\underset{\mathbf{p}, \mathbf{s}}{\text{maximize}} \quad \sum_{i=1}^{N_F} \sum_{m=1}^K \sum_{n=1}^K s_{m,n}^i \left[w_m \log_2 \left(1 + \frac{|h_m^i|^2 p_m^i}{|h_m^i|^2 p_n^i + \sigma_m^2} \right) + w_n \log_2 \left(1 + \frac{|h_n^i|^2 p_n^i}{\sigma_n^2} \right) \right]$$

$$\text{s.t.} \quad \text{C1: } \sum_{i=1}^{N_F} \sum_{m=1}^K \sum_{n=1}^K s_{m,n}^i (p_m^i + p_n^i) \leq P_{\max},$$

$$\text{C2: } s_{m,n}^i \in \{0, 1\}, \quad \forall i, m, n,$$

$$\text{C3: } \sum_{m=1}^K \sum_{n=1}^K s_{m,n}^i \leq 1, \quad \forall i,$$

$$\text{C4: } p_m^i \geq 0, \quad \forall i, m, \quad (3)$$

Blue color = non-convex objective function

Red color = non-convex constraint

Even if the subcarrier allocation is given, NP-hard

Optimal Solution

- In this section, we apply **monotonic optimization** to obtain the global optimal solution
- Monotonic optimization can converge to the **global optimal** solution of **non-convex** optimization problems by exploiting the **monotonicity** of the considered problem
 - The objective function is needed to be a **increasing** function
 - The feasible set is needed to be a **normal set** (the convex set belongs to normal sets)
 - The global optimal point is attained on the **upper boundary**
 - Approach the global optimal solution by **constructing a sequence of polyblocks**

Optimal Solution

- Rewrite the weighted throughput in an equivalent form

$$\begin{aligned}
 & U_{m,n}^i(p_m^i, p_n^i, s_{m,n}^i) \\
 &= w_m \log_2 \left(1 + \frac{s_{m,n}^i H_m^i p_m^i}{s_{m,n}^i H_m^i p_m^i + 1} \right) + w_n \log_2 (1 + s_{m,n}^i H_n^i p_n^i) \\
 &= w_m \log_2 \left(1 + \frac{H_m^i \tilde{p}_{m,n,m}^i}{H_m^i \tilde{p}_{m,n,m}^i + 1} \right) + w_n \log_2 (1 + H_n^i \tilde{p}_{m,n,n}^i) \\
 &= \log_2 (u_{m,n}^i)^{w_m} + \log_2 (v_{m,n}^i)^{w_n}, \tag{4}
 \end{aligned}$$

where $H_m^i = \frac{|h_m^i|^2}{\sigma_m^2}$, $u_{m,n}^i = 1 + \frac{H_m^i \tilde{p}_{m,n,m}^i}{H_m^i \tilde{p}_{m,n,m}^i + 1}$, $v_{m,n}^i = 1 + H_n^i \tilde{p}_{m,n,n}^i$,
 and $\tilde{p}_{m,n,m}^i = s_{m,n}^i p_m^i$.

Optimal Solution

- Then, we define

$$f_d(\tilde{\mathbf{p}}) = \begin{cases} 1 + H_m^i(\tilde{p}_{m,n,m}^i + \tilde{p}_{m,n,n}^i), & d = \Delta, \\ 1 + H_n^i \tilde{p}_{m,n,n}^i, & d = D/2 + \Delta, \end{cases} \quad (5)$$

$$g_d(\tilde{\mathbf{p}}) = \begin{cases} 1 + H_m^i \tilde{p}_{m,n,n}^i, & d = \Delta, \\ 1, & d = D/2 + \Delta, \end{cases} \quad (6)$$

where $\Delta = (i - 1)K^2 + (m - 1)K + n$ and $D = 2N_F K^2$.

- We further define

$$\mathbf{z} = [z_1, \dots, z_D]^T = [u_{1,1}^1, \dots, u_{K,K}^{N_F}, v_{1,1}^1, \dots, v_{K,K}^{N_F}]^T. \quad (7)$$

Optimal Solution

- Now, the original problem can be written as a standard monotonic optimization problem as:

Problem: Maximization of the weighted system throughput

$$\begin{aligned}
 & \underset{\mathbf{z}}{\text{maximize}} && \sum_{d=1}^D \log_2(z_d)^{\mu_d} \\
 & \text{s.t.} && \mathbf{z} \in \mathcal{L},
 \end{aligned} \tag{8}$$

where the feasible set \mathcal{L} is given by

$$\mathcal{L} = \left\{ \mathbf{z} \mid 1 \leq z_d \leq \frac{f_d(\tilde{\mathbf{p}})}{g_d(\tilde{\mathbf{p}})}, \tilde{\mathbf{p}} \in \mathcal{P}, \mathbf{s} \in \mathcal{S}, \forall d \right\},$$

where \mathcal{P} and \mathcal{S} are the feasible sets spanned by constraints C1, C3, and C4.

Optimal Solution

- The equivalent monotonic optimization problem in (8) can be solved optimally via outer polyblock approximation algorithm.

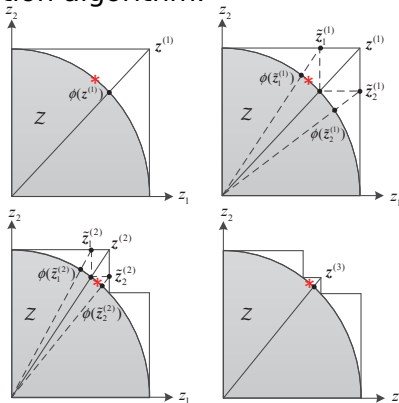


Figure: Illustration of the outer polyblock approximation algorithm for $D = 2$. The red star is the optimal point on the boundary of the feasible set \mathcal{L} .

Optimal Solution

- The proposed monotonic optimization based resource allocation algorithm achieves the globally optimal solution. However, the computational complexity grows exponentially with $D = 2N_F K^2$.
- In next section, we propose a suboptimal algorithm to reduce complexity while achieving a close-to-optimal performance.

Suboptimal Solution

- The product term $\tilde{p}_{m,n,m}^i = s_{m,n}^i p_m^i$ is an obstacle for efficient algorithm design.
- We adopt the big-M formulation to decompose the product terms. In particular, we impose the following additional constraints:

$$\text{C5: } \tilde{p}_{m,n,m}^i \leq P_{\max} s_{m,n}^i, \quad \forall m, n, i,$$

$$\text{C6: } \tilde{p}_{m,n,m}^i \leq p_m^i, \quad \forall m, n, i,$$

$$\text{C7: } \tilde{p}_{m,n,m}^i \geq p_m^i - (1 - s_{m,n}^i) P_{\max}, \quad \forall m, n, i, \text{ and}$$

$$\text{C8: } \tilde{p}_{m,n,m}^i \geq 0, \quad \forall m, n, i.$$

Suboptimal Solution

- Then, we rewrite the non-convex integer constraint C2: $s_{m,n}^i \in \{0, 1\}$ in its equivalent form:

$$\text{C2a: } \sum_{i=1}^{N_F} \sum_{m=1}^K \sum_{n=1}^K s_{m,n}^i - \sum_{i=1}^{N_F} \sum_{m=1}^K \sum_{n=1}^K (s_{m,n}^i)^2 \leq 0 \quad \text{and}$$

$$\text{C2b: } 0 \leq s_{m,n}^i \leq 1, \quad \forall m, n, i.$$

- However, the constraint C2a is still a non-convex constraint.

Suboptimal Solution

- In order to handle the non-convex constraint C2a, we incorporate it as an additive penalty function term into the objective function :

Suboptimal resource allocation problem:

$$\begin{aligned}
 & \underset{\tilde{\mathbf{p}}, \mathbf{s}}{\text{minimize}} && \sum_{i=1}^{N_F} \sum_{m=1}^K \sum_{n=1}^K -\log_2 \left(1 + \frac{H_m^i \tilde{p}_{m,n,m}^i}{H_m^i \tilde{p}_{m,n,n}^i + 1} \right)^{w_m} - \log_2 \left(1 + H_n^i \tilde{p}_{m,n,n}^i \right)^{w_n} \\
 & && + \eta \left(\sum_{i=1}^{N_F} \sum_{m=1}^K \sum_{n=1}^K s_{m,n}^i - \sum_{i=1}^{N_F} \sum_{m=1}^K \sum_{n=1}^K (s_{m,n}^i)^2 \right) \\
 & \text{s.t.} && \text{C1, C2b, C3-C8,} \tag{9}
 \end{aligned}$$

where $\eta \gg 1$ is a large constant which acts as a penalty factor to penalize the objective function for any $s_{m,n}^i$ that is not equal to 0 or 1.

- Problem (9) is still non-convex due to its objective function

Suboptimal Solution

- Now, we rewrite problem (9) as

$$\begin{aligned}
 & \underset{\tilde{\mathbf{p}}, \mathbf{s}}{\text{minimize}} && F(\tilde{\mathbf{p}}) - G(\tilde{\mathbf{p}}) + \eta(H(\mathbf{s}) - M(\mathbf{s})) \\
 & \text{s.t.} && \text{C1, C2b, C3-C8,}
 \end{aligned} \tag{10}$$

where

$$\begin{aligned}
 F(\tilde{\mathbf{p}}) = & \sum_{i=1}^{N_F} \sum_{m=1}^K \sum_{n=1}^K -w_m \log_2(1 + H_m^i(\tilde{p}_{m,n,m}^i + \tilde{p}_{m,n,n}^i)) \\
 & - w_n \log_2(1 + H_n^i \tilde{p}_{m,n,n}^i),
 \end{aligned}$$

$$G(\tilde{\mathbf{p}}) = \sum_{i=1}^{N_F} \sum_{m=1}^K \sum_{n=1}^K -w_m \log_2(1 + H_m^i \tilde{p}_{m,n,n}^i),$$

$$H(\mathbf{s}) = \sum_{i=1}^{N_F} \sum_{m=1}^K \sum_{n=1}^K s_{m,n}^i, \text{ and } M(\mathbf{s}) = \sum_{i=1}^{N_F} \sum_{m=1}^K \sum_{n=1}^K (s_{m,n}^i)^2.$$

Suboptimal Solution

- The $F(\tilde{\mathbf{p}})$, $G(\tilde{\mathbf{p}})$, $H(\mathbf{s})$, and $M(\mathbf{s})$ are convex functions and the problem in (10) belongs to the class of difference of convex (d.c.) function programming.
- As a result, we can apply successive convex approximation to obtain a local optimal solution.
- For any feasible point $\tilde{\mathbf{p}}^{(k)}$ and $\mathbf{s}^{(k)}$, we have the following inequalities

$$G(\tilde{\mathbf{p}}) \geq G(\tilde{\mathbf{p}}^{(k)}) + \nabla_{\tilde{\mathbf{p}}} G(\tilde{\mathbf{p}}^{(k)})^T (\tilde{\mathbf{p}} - \tilde{\mathbf{p}}^{(k)}) \quad \text{and} \quad (11)$$

$$M(\mathbf{s}) \geq M(\mathbf{s}^{(k)}) + \nabla_{\mathbf{s}} M(\mathbf{s}^{(k)})^T (\mathbf{s} - \mathbf{s}^{(k)}). \quad (12)$$

Suboptimal Solution

- Therefore, for any given $\tilde{\mathbf{p}}^{(k)}$ and $\mathbf{s}^{(k)}$, we can obtain an **upper bound** for (10) by solving the following convex optimization problem:

Suboptimal resource allocation problem:

$$\begin{aligned}
 & \underset{\tilde{\mathbf{p}}, \mathbf{s}}{\text{minimize}} && F(\tilde{\mathbf{p}}) - G(\tilde{\mathbf{p}}^{(k)}) - \nabla_{\tilde{\mathbf{p}}} G(\tilde{\mathbf{p}}^{(k)})^T (\tilde{\mathbf{p}} - \tilde{\mathbf{p}}^{(k)}) \\
 & && + \eta (H(\mathbf{s}) - M(\mathbf{s}^{(k)}) - \nabla_{\mathbf{s}} M(\mathbf{s}^{(k)})^T (\mathbf{s} - \mathbf{s}^{(k)})) \\
 & \text{s.t.} && \text{C1, C2b, C3-C8,} \tag{13}
 \end{aligned}$$

- The optimization problem in (13) is convex and can be solved efficiently by standard convex program solver such as CVX.
- Then, we employ an iterative algorithm to tighten the upper bound.

Results - Simulation Parameters

Table: System parameters

Carrier center frequency and system bandwidth	2.5 GHz and 5 MHz
The number of subcarriers, N_F	64
The bandwidth of each subcarrier	78 kHz
User noise power, σ_m^2	-125 dBm
BS antenna gain	10 dBi

- Baseline scheme 1: suboptimal power and subcarrier allocation scheme in [1]
- Baseline scheme 2: random subcarrier allocation scheme
- Baseline scheme 3: traditional MC-OMA scheme

Results - Average system throughput versus maximum transmit power at the BS

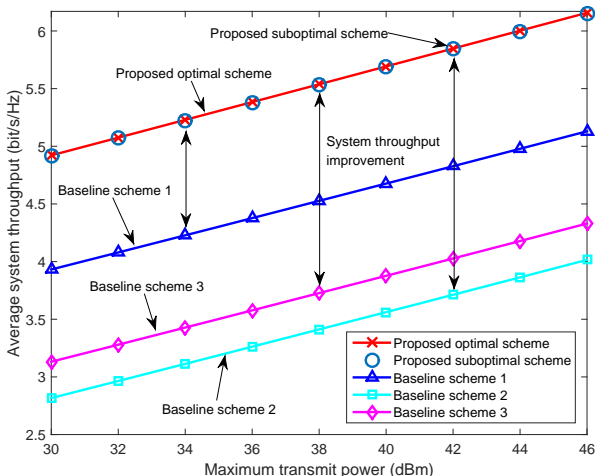


Figure: Average system throughput versus the maximum transmit power at base station.

Results - Average system throughput versus number of users

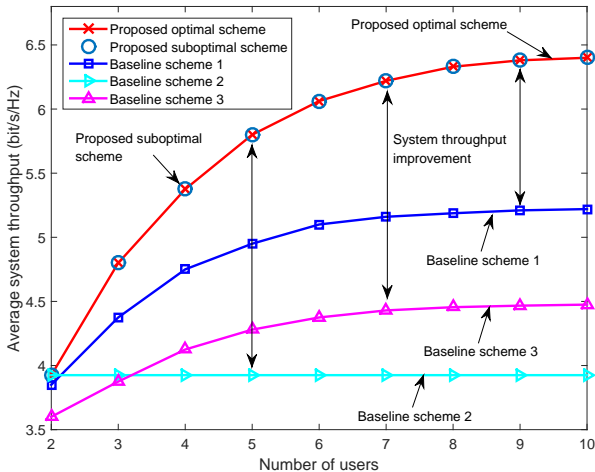


Figure: Average system throughput versus the number of users.

Conclusions

- The resource allocation algorithm for MC-NOMA systems was formulated with the objective to maximize the weighted system throughput
- The proposed problem is solved optimally by using monotonic optimization method
- The low-complexity suboptimal scheme is proposed to achieve close-to-optimal performance
- Simulation results unveiled a significant improvement in system performance compared to conventional MC-OMA system.

Future Work

- Employ NOMA transmission scheme in full-duplex (FD) systems to further improve system throughput.
- Investigate MISO-NOMA system where the BS is equipped with multiple antennas.
- Study resource allocation design for MC-NOMA system where multiplex arbitrary number of users on each subcarrier.
- Study robust resource allocation design for NOMA systems where the channel gains are imperfectly known.
- Study resource allocation design for uplink NOMA systems.

References

- [1] (2015) Qualcomm's 5G Vision. [Online]. Available: <https://www.qualcomm.com/invention/technologies/5g>
- [2] T. Marzetta, "Noncooperative Cellular Wireless with Unlimited Numbers of Base Station Antennas," *IEEE Trans. Wireless Commun.*, vol. 9, pp. 3590–3600, Nov. 2010.
- [3] D. W. K. Ng, E. Lo, and R. Schober, "Energy-Efficient Resource Allocation in OFDMA Systems with Large Numbers of Base Station Antennas," *IEEE Trans. Wireless Commun.*, vol. 11, pp. 3292–3304, Sep. 2012.
- [4] Y. Sun, D. W. K. Ng, Z. Ding, and R. Schober, "Optimal Joint Power and Subcarrier Allocation for MC-NOMA Systems," *accepted for presentation at IEEE Global Commun. Conf. 2016*. [Online]. Available: <http://arxiv.org/abs/1503.06021>
- [5] —, "Optimal Joint Power and Subcarrier Allocation for Full-Duplex Multicarrier Non-Orthogonal Multiple Access Systems," *accepted for presentation, TCOM*. [Online]. Available: <http://arxiv.org/abs/1503.06021>

- [6] Z. Wei, D. W. K. Ng, and J. Yuan, "Power-efficient resource allocation for MC-NOMA with statistical channel state information," *CoRR*, vol. abs/1607.01116, 2016. [Online]. Available: <http://arxiv.org/abs/1607.01116>
- [7] Z. Wei, J. Yuan, D. W. K. Ng, M. ElKashlan, and Z. Ding, "A survey of downlink non-orthogonal multiple access for 5g wireless communication networks," *CoRR*, vol. abs/1609.01856, 2016. [Online]. Available: <http://arxiv.org/abs/1609.01856>
- [8] Y. Sun, D. W. K. Ng, J. Zhu, and R. Schober, "Multi-Objective Optimization for Robust Power Efficient and Secure Full-Duplex Wireless Communication Systems," *IEEE Trans. Wireless Commun.*, vol. 15, no. 8, pp. 5511–5526, Aug. 2016.
- [9] D. W. K. Ng, Y. Wu, and R. Schober, "Power efficient resource allocation for full-duplex radio distributed antenna networks," *IEEE Trans. Wireless Commun.*, vol. 15, no. 4, pp. 2896–2911, 2016.
- [10] L. Xiang, D. W. K. Ng, R. Schober, and V. W. Wong, "Cache-enabled physical-layer security for video streaming in wireless networks with limited backhaul," *CoRR*, vol. abs/1612.01189, 2016. [Online]. Available: <https://arxiv.org/abs/1612.01189>

- [11] D. Ng, E. Lo, and R. Schober, "Energy-Efficient Resource Allocation in OFDMA Systems with Hybrid Energy Harvesting Base Station," *IEEE Trans. Wireless Commun.*, vol. 12, pp. 3412–3427, Jul. 2013.
- [12] I. Ahmed, A. Ikhlef, D. Ng, and R. Schober, "Power Allocation for an Energy Harvesting Transmitter with Hybrid Energy Sources," *IEEE Trans. Wireless Commun.*, vol. 12, pp. 6255–6267, Dec. 2013.
- [13] L. Varshney, "Transporting Information and Energy Simultaneously," in *Proc. IEEE Intern. Sympos. on Inf. Theory*, Jul. 2008, pp. 1612–1616.
- [14] D. W. K. Ng, E. S. Lo, and R. Schober, "Robust Beamforming for Secure Communication in Systems With Wireless Information and Power Transfer," *IEEE Trans. Wireless Commun.*, vol. 13, no. 8, pp. 4599–4615, Aug 2014.
- [15] P. Grover and A. Sahai, "Shannon Meets Tesla: Wireless Information and Power Transfer," in *Proc. IEEE Intern. Sympos. on Inf. Theory*, Jun. 2010, pp. 2363–2367.

- [16] I. Krikidis, S. Timotheou, S. Nikolaou, G. Zheng, D. W. K. Ng, and R. Schober, "Simultaneous Wireless Information and Power Transfer in Modern Communication Systems," *IEEE Commun. Mag.*, vol. 52, no. 11, pp. 104–110, Nov. 2014.
- [17] Z. Ding, C. Zhong, D. W. K. Ng, M. Peng, H. A. Suraweera, R. Schober, and H. V. Poor, "Application of Smart Antenna Technologies in Simultaneous Wireless Information and Power Transfer," *IEEE Commun. Magazine*, vol. 53, no. 4, pp. 86–93, Apr. 2015.
- [18] R. Zhang and C. K. Ho, "MIMO Broadcasting for Simultaneous Wireless Information and Power Transfer," *IEEE Trans. Wireless Commun.*, vol. 12, pp. 1989–2001, May 2013.
- [19] X. Chen, Z. Zhang, H.-H. Chen, and H. Zhang, "Enhancing Wireless Information and Power Transfer by Exploiting Multi-Antenna Techniques," *IEEE Commun. Magazine*, no. 4, pp. 133–141, Apr. 2015.
- [20] D. W. K. Ng, E. S. Lo, and R. Schober, "Dynamic resource allocation in mimo-ofdma systems with full-duplex and hybrid relaying," *IEEE Trans. Wireless Commun.*, vol. 60, no. 5, pp. 1291–1304, May 2012.

- [21] J. Chen, X. Chen, W. H. Gerstacker, and D. W. K. Ng, "Resource allocation for a massive mimo relay aided secure communication," *IEEE Transactions on Information Forensics and Security*, vol. 11, no. 8, pp. 1700–1711, Aug. 2016.
- [22] D. W. K. Ng, E. S. Lo, and R. Schober, "Secure Resource Allocation and Scheduling for OFDMA Decode-and-Forward Relay Networks," *IEEE Trans. Wireless Commun.*, vol. 10, pp. 3528–3540, Oct. 2011.
- [23] Y. Wu, R. Schober, D. W. K. Ng, C. Xiao, and G. Caire, "Secure massive mimo transmission with an active eavesdropper," *IEEE Trans. Inf. Theory*, vol. 62, no. 7, pp. 3880–3900, Jul. 2016.
- [24] E. Boshkovska, D. W. K. Ng, N. Zlatanov, and R. Schober, "Practical Non-Linear Energy Harvesting Model and Resource Allocation for SWIPT Systems," *IEEE Commun. Lett.*, vol. 19, no. 12, pp. 2082–2085, Dec. 2015.
- [25] F. Zhang, S. Hackworth, X. Liu, H. Chen, R. Sciabassi, and M. Sun, "Wireless Energy Transfer Platform for Medical Sensors and Implantable Devices," in *Annual Intern. Conf. of the IEEE Eng. in Med. and Biol. Soc.*, Sep. 2009, pp. 1045–1048.

- [26] V. Chawla and D. S. Ha, "An Overview of Passive RFID," *IEEE Commun. Magazine*, vol. 45, pp. 11-17, Sep. 2007.

Q&A